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Lao People's Democratic Republic



ອົງການສະໜັບສະໜູນພັດທະນາ
United Nations Development Programme

Quarterly Project Progress Report (QPR)

Effective Governance for Small-Scale Rural Infrastructure and Disaster Preparedness in a Changing Climate (LDCF II)

10th October 2014

Basic Project Information

(Basic information can be completed by UNDP before sharing the report with the partner)

Project Title:

UNDP Award ID	4710
UNDP Project ID	00084024
Project Duration	1 st Jan 2013 – 31 st Dec 2016
Reporting Period	1 st July – 30 th Sep 2014
Implementing Partners	MONRE
National collaborating agencies	MOHA,
Other UN/International collaborating agencies	UNCDF
Cost-sharing third parties	LDCF (GEF)
UNDP Programme Officer/Programme Analyst	Vichit Sayavongkhamdy

1. Project Implementation Status and Key Results

Implementation Status Summary

The project contributes to the UNDAF Outcome 8: "by 2015, the Government and communities better adapt to and mitigate climate change and reduce natural disaster vulnerabilities in priority sectors" by identifying and implementing adaptation measures in the rural water infrastructure sector, including both engineered and ecosystem-based adaptation options.

The first five pilot projects have been identified, two in Sekong Province and three in Saravane Province. Consultations with the provinces and concerned districts on the inclusion of these projects into district development plans for 2014 has been carried out to ensure that funding for the projects will be available for 2014 through the District Development Fund Mechanism

Two ecosystem areas, one in each province, have been identified based on local consultations. These will constitute pilots that will demonstrate ecosystem linkages with improved climate resilience of communities and water infrastructure within their watershed.

Capacity needs assessment of provincial and district government agencies was completed during the reporting period, based on which a capacity development plan will be drafted in the next reporting period

Implementation Progress Summary

(UNDP can pre-fill the table for the first 5 columns. Project needs to complete last 3 columns. Where implementation delays for an activity, please indicate alternative actions being suggested or taken, e.g. changes to activity dates, proposed meetings to discuss delays and so forth.)

OUTCOME <i>In line with QWP/AWP</i>	INDICATOR	TARGET	STATUS OF TARGET <i>(Achieved, On Track or Off Track)</i>	PLANNED ACTIVITIES <i>List all activities to be undertaken during the period as stated in QWP/AWP</i>	ACTIVITY PROGRESS TO DATE <i>Give detailed explanation of the status. Provide justification if delayed and new schedule planned and provide response strategy if any</i>	RESULTS ACHIEVED <i>Describe concrete, key results (policy, publication, key event etc.) achieved so far, vis-à-vis the specific targets set in the QWP/AWP</i>	BENEFICIARY <i>Approximate # of beneficiaries reached by project activities (disaggregated by sex/ age), etc.</i>
Outcome 1: Inclusive planning, budgeting and capacity development for reducing climate and disaster related risks	1.1 Percentage change in the ability of local and some national officials to apply methodologies to analyses climate risks and identify CC vulnerabilities in 12 districts	50% of sub-national officials and 10% of national officials are able to analyses climate risks for their districts on a macro level (V&A analysis) and are able to identify specific vulnerabilities and adaptation options at village level (CRVA)	On track	Activity 1.1 Technical Capacity enhanced for provincial and district officers	<input type="checkbox"/> Completed <input checked="" type="checkbox"/> In progress <input type="checkbox"/> Delayed <i>Reason:</i> _____ <i>New Schedule:</i> _____ <i>Response Strategy:</i> _____ <input type="checkbox"/> Other changes _____	Capacity needs assessment was carried out for provincial and district staff in Saravane and Sekong provinces. As part of this assessment, a CC awareness training was carried out with the participating provincial and district officers	61 provincial and district officers (56 men and 5 women)
1.2 Procedures are in place to integrate CC resilient advice and investment for small scale rural water infrastructure into district planning	1.2 Procedures are in place to integrate CC resilient advice and investment for small scale rural water infrastructure into district planning	All 12 target districts are applying a CR planning mechanism including project identification, site assessment, approval, execution and M&E	On track	Activity 1.2 Village level infrastructure adaptation solutions and related ecosystem management options	<input type="checkbox"/> Completed <input checked="" type="checkbox"/> In progress <input type="checkbox"/> Delayed <i>Reason:</i> _____ <i>New Schedule:</i> _____ <i>Response Strategy:</i> _____ <input type="checkbox"/> Other changes _____	Five projects have been selected and will be used to introduce procedures for the integration of CC considerations into district development plans. These five	

				identified, prioritized and integrated into district development plans		projects will be integrated into the 2014 plans (deadline 10 th October 2014)	
1.3 # of district development plans available, reflecting costs for adaptation in the water sector	1.3 # of district development plans available, reflecting costs for adaptation in the water sector	All annual district investment plans include evidence of incremental CCA costings for water sector projects by year 4 and at least 4 provide this evidence by Year 2	On track	Activity 1.3 Terms of reference for CRVA completed	<input type="checkbox"/> Completed <input checked="" type="checkbox"/> In progress <input type="checkbox"/> Delayed Reason: _____ New Schedule: _____ Response Strategy: _____ <input type="checkbox"/> Other changes: _____	One of the five district development plans (the five pilot projects above) already include the pilot project – the other four will integrate the pilots before deadline (10 th October 2014)	~7000 villagers
Outcome 2: Local investment for reducing climate risks	2.1 # of districts routinely investing in climate resilient measures to improve village level water harvesting, storage and distribution systems	All target districts are investing at least 2 projects per year in village level climate resilient water harvesting, storage and distribution systems, which are informed by CRVA	On track	Activity 2.1 Revised DDF guidelines to include climate resilience criteria drafted	<input type="checkbox"/> Completed <input checked="" type="checkbox"/> In progress <input type="checkbox"/> Delayed Reason: _____ New Schedule: _____ Response Strategy: _____ <input type="checkbox"/> Other changes: _____	The first five pilot projects are based on the preliminary vulnerability assessment done during project formulation. TOR for CRVA has been developed, and a consultant entity will be recruited during Q4 of 2014 to develop and implement the CRVA. This will then be used as the basis for the identification and prioritization of	

<p>Outcome 3: Securing ecosystem services and assets</p>	<p>2.2 # of people benefitting from investments in small-scale irrigation systems to increase their resilience against CC risks</p> <p>2.3 District level fiscal and administrative incentives are introduced that incorporate CR measures for small scale rural infrastructure</p> <p>3.1 # of management /action plans developed and under implementation, which protect natural assets through local scale EbA measures to</p>	<p>At least 50,000 people across 12 districts are benefitting from CC resilient small-scale irrigation infrastructure, which has been informed by CRVA</p> <p>At least 25% in additional CCA funds (annual average) expended over and above baseline DDF in at least 12 districts, based on a system that rewards districts that perform well against predetermined criteria</p> <p>At least 6 management and action plans covering at least 48 CR small-scale infrastructure investments under implementation across both Sekong and Saravane</p>	<p>On track</p> <p>On track</p> <p>On track</p>	<p>Identify pilot projects for first round funding in 2014</p> <p>Pilot ecosystem areas identified for 2014</p>	<p><input type="checkbox"/> Completed <input checked="" type="checkbox"/> In progress <input type="checkbox"/> Delayed Reason: _____ New Schedule: _____ Response Strategy: _____ <input type="checkbox"/> Other changes: _____</p> <p><input type="checkbox"/> Completed <input checked="" type="checkbox"/> In progress <input type="checkbox"/> Delayed Reason: _____ New Schedule: _____ Response Strategy: _____ <input type="checkbox"/> Other changes: _____</p> <p><input type="checkbox"/> Completed <input checked="" type="checkbox"/> In progress <input type="checkbox"/> Delayed Reason: _____ New Schedule: _____ Response Strategy: _____ <input type="checkbox"/> Other changes: _____</p>	<p>projects in 2015 and 2016</p> <p>The first five pilot projects to be initiated in 2014 will potentially benefit more than 7000 people across the five pilot districts</p> <p>A new version has been drafted of the "Manual for the Assessment of Districts' Performance under the SCSD Program – DDF, MoHA-SCSD Guideline No 07/2012", to include requirements for CR grant system</p> <p>Two ecosystem areas, one in each province, have been identified to be initiated in 2014, based on local consultations. These will constitute pilots that will demonstrate ecosystem linkages</p>	<p>~7000 villagers</p> <p>The number of potential beneficiaries (i.e. villagers) within the watersheds of the two ecosystem areas will be</p>
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	<p>improve the resilience of small-scale rural infrastructure against floods and drought</p> <p>3.2 # of key project stakeholders aware of links between improved ecosystem management and sustainability of investments in small scale rural water infrastructure</p>	<p>provinces</p> <p>At least 250 national, prov. and dist. planners have received knowledge and learning approaches and materials produced by the project on ecosystem based management linkages to infrastructure provision</p>	<p>On track</p>	<p>Activity 3.1 Training on ecosystem management carried out for key focal points in the two provinces</p>	<p><input type="checkbox"/> Completed <input checked="" type="checkbox"/> In progress <input type="checkbox"/> Delayed Reason: _____ New Schedule: _____ Response Strategy: _____ Other changes: _____</p>	<p>with improved CR of communities and water infrastructure within their watershed</p> <p>One training workshop was implemented on ecosystem management by the outgoing National Ecosystem Specialist. The five infrastructure pilots and two ecosystem pilots will be used as entry points for a more elaborate awareness raising plan to be developed in early 2015.</p>	<p>determined by the CRVA process</p>
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2. Financial Management

Financial Information Summary

Reporting Period: July 2014 to September 2014

Output	Activities	Source of Funding	Activity Budget of Annual 2014		Activity Budget of current quarter (Jul-Sep 2014)		Expenditure of the current reporting period (Jul-Sep 2014)		Accumulated Expenditure by the current reporting period (Jan-Sep 2014)		Delivery Rate of the current reporting period (Jul-Sep 2014)		Accumulated Delivery Rate by the current reporting period (Jan-Sep 2014)	
			USD	USD	USD	USD	USD	USD	%	%	USD	USD	%	%
1. Inclusive planning, budgeting and capacity development for reducing climate and disaster related risks	1.1-1.5	04000	35,528.00	19,412.07	5,899.91	5,899.91	5,899.91	30.39	5,899.91	16.61				
		62160	323,290.00	68,660.47	57,055.13	126,438.24	83.10	39.11						
2. Local investment for reducing climate risks	2.1-2.2	04000	20,736.00	5,898.00	5,466.28	7,679.62	92.68	37.04						
		62160	632,000.00	-	13,494.88	18,163.54	-	2.87						
3. Securing ecosystem services and assets	3.1-3.2	04000	-	-	5,899.91	5,899.91	-	-						
		62160	193,973.00	30,239.00	39,865.30	84,371.35	131.83	43.50						
4. Effective Project Management	4.1	04000	3,736.00	-	-	-	-	-						
		62160	98,954.00	22,116.00	7,083.08	13,542.29	32.03	13.69						
Sub-total (TRAC)			60,000.00	25,310.07	17,266.10	19,479.44	68.22	32.47						
Sub-total (LDCF)			1,248,217.00	121,015.47	117,498.39	242,515.42	97.09	19.43						
Grant-total (TRAC+LDCF)			1,308,217.00	146,325.54	134,764.49	261,994.86	92.10	20.03						

3. Project Management and Oversight

Although the project has accelerated planning towards direct implementation, it encountered some set-backs during the reporting period in that two key staff, the National Infrastructure Specialist (NIS) and the National Ecosystems Specialist (NES) both resigned. The process of recruiting new specialists for their replacement was initiated, along with the recruitment of the M&E specialist.

Consequently, the project is expected to finally be fully staffed during Q4 of 2014.

- Monitoring and Evaluation

During the reporting period, the planning of direct project interventions was accelerated with the selection of pilot projects and pilot ecosystem areas to be initiated in Q4 of 2014. The process of recruiting a Monitoring and Evaluation Specialist was initiated and will be completed in October 2014. Therefore, by the time direct project interventions will be initiated (Q4, 2014) the M&E specialist will be on-board to lead the M&E design and data capture. The project M&E system is expected to be fully operational by end of Q4, 2014.

- Risk management

Risks identified from Project Document:

Most of the risks identified from the project document (e.g. limited understanding and acceptance of climate change, limited willingness at provincial and local levels to invest in climate resilient infrastructure) will be managed by two activities that have been set in motion during the reporting period: (1) the development of a capacity building plan, based on needs assessments; and (2) the CRVA process, for which TORs have been developed and a consultant entity will be recruited in Q4, so that the CRVA can also be started in late 2014.

Risks identified during project implementation:

The two areas of rural infrastructure implementation and ecosystem management are still seen as two separate areas of intervention. This risk is reinforced by the split into two different outcomes of the project (outcome 2 and 3, respectively). This risk is managed by: (1) designing the CRVA process to specifically cover the linkages between infrastructure and ecosystems, (2) ensuring that the five selected pilot projects will integrate ecosystem-based adaptation options during the implementation process, (3) ensuring that the new National Ecosystems Specialist and National Infrastructure Specialist will have a complete understanding of this issue and work closely together throughout project implementation

- Communication and advocacy

During the reporting period, communication has focused on internal project teams, particularly cross-ministry communications between MONRE and

MOHA, and between central, provincial and district levels. It is important for a coherent implementation of the project that all involved at all levels have a common understanding of the project and their own roles in its implementation.

As soon as the five pilot projects start to produce results, communication and advocacy material will be produced and distributed widely, including to beneficiary communities and villagers.

4. Management Responses and Recommendations

A spot-check was carried out during the reporting period (September 2014). The results have not yet been reported.

The first project board meeting is scheduled for early November 2014.

PREPARED BY CTA



Date: 10/10/2014

APPROVED BY PM/NPD



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RECEIVED AND REVIEWED BY UNDP

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